

# SAURIN INVESTMENTS PRIVATE LIMITED

Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers & Depository Participants on their respective websites**

**Name of Intermediary : Saurin Investments Private Limited**

**Member of : NSE, BSE & DP of NSDL**

**TM CODE : NSE – 08237 | BSE – 6388 | DP ID – IN 301469**

**SEBI Regn No : INZ000285637 (Stock Broker)                      IN-DP-88-2015 (DP)**

**Data for month ending – July 2025**

| S<br>N | Received<br>from                          | Carried<br>forward<br>from<br>previou<br>s<br>month | Receive<br>d during<br>the<br>month | Total<br>Pendin<br>g | Resolved*  | Pending at the end<br>of the month *    |                                         | Average<br>Resoluti<br>on time^<br>(in days) |
|--------|-------------------------------------------|-----------------------------------------------------|-------------------------------------|----------------------|------------|-----------------------------------------|-----------------------------------------|----------------------------------------------|
|        |                                           |                                                     |                                     |                      |            | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |                                              |
| 1      | 2                                         | 3                                                   | 4                                   | 5                    | 6          | 7                                       |                                         | 8                                            |
| 1      | Directly<br>from<br>Investors<br>TM<br>DP | Nil<br>Nil                                          | Nil<br>Nil                          | Nil<br>Nil           | Nil<br>Nil | Nil<br>Nil                              | Nil<br>Nil                              | NA<br>NA                                     |
| 2      | SEBI<br>( SCORES)<br>TM<br>DP             | Nil<br>Nil                                          | Nil<br>Nil                          | Nil<br>Nil           | Nil<br>Nil | Nil<br>Nil                              | Nil<br>Nil                              | NA<br>NA                                     |
| 3      | Stock<br>Exchanges                        | Nil                                                 | Nil                                 | Nil                  | Nil        | Nil                                     | Nil                                     | NA                                           |
| 4      | Depositories                              | Nil                                                 | Nil                                 | Nil                  | Nil        | Nil                                     | Nil                                     | NA                                           |
| 5      | Other<br>Sources<br>(if any)<br>TM<br>DP  | Nil<br>Nil                                          | Nil<br>Nil                          | Nil<br>Nil           | Nil<br>Nil | Nil<br>Nil                              | Nil<br>Nil                              | NA<br>NA                                     |
| 6      | <b>Grand<br/>Total</b>                    | Nil                                                 | Nil                                 | Nil                  | Nil        | Nil                                     | Nil                                     | NA                                           |

### Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|-------|-------------------------------------|----------|-----------|-----------|
| 1  | 2     | 3                                   | 4        | 5         | 6         |

|    |                        |            |            |            |            |
|----|------------------------|------------|------------|------------|------------|
| 1  | Aug – 2024<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 2  | Sep – 2024<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 3  | Oct – 2024<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 4  | Nov – 2024<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 5  | Dec – 2024<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 6  | Jan – 2025<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 7  | Feb – 2025<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 8  | Mar – 2025<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 9  | Apr – 2025<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 10 | May – 2025<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 11 | Jun – 2025<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
| 12 | Jul – 2025<br>TM<br>DP | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil | Nil<br>Nil |
|    | <b>Grand Total</b>     | Nil        | Nil        | Nil        | Nil        |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

| SN | Year                | Carried forward<br>from previous<br>year | Received<br>during the year | Resolved<br>during the<br>year | Pending at<br>the end of<br>the year |
|----|---------------------|------------------------------------------|-----------------------------|--------------------------------|--------------------------------------|
| 1  | 2017-18<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
| 2  | 2018-19<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
| 3  | 2019-20<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
| 4  | 2020-21<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
| 5  | 2021-22<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
| 6  | 2022-23<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
| 7  | 2023-24<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
| 8  | 2024-25<br>TM<br>DP | Nil<br>Nil                               | Nil<br>Nil                  | Nil<br>Nil                     | Nil<br>Nil                           |
|    | <b>Grand Total</b>  | Nil                                      | Nil                         | Nil                            | Nil                                  |